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- (71) Applicant: **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA** [US/US]; 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US).
- (72) Inventors: **DEMING, Timothy, J.**; 2205 Lillie Avenue #C, Summerland, CA 93067 (US). **CHENG, Jianjun**; 1009 Fairview Avenue, Apt. H, Arcadia, CA 91007 (US).
- (74) Agent: **CHURCHILL, Margaret, A.**; Fulbright & Jaworski L.L.P., 865 South Figueroa, 29th Floor, Los Angeles, CA 90017-2571 (US).
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(54) Title: TRANSITION METAL INITIATORS FOR CONTROLLED POLY (BETA-PEPTIDE) SYNTHESIS FROM BETA-LACTAM MONOMERS

(57) Abstract: A series of initiators based on transition metal complexes for the polymerization of optically active beta-lactams into poly-beta-peptides and block copolymers have been developed. These initiators are unique in being able to eliminate chain transfer and chain termination side reactions from these polymerizations resulting in narrow molecular weight distributions, molecular weight control, and the ability to prepare copolymers of defined block sequence and composition.

**TRANSITION METAL INITIATORS FOR CONTROLLED
POLY (BETA-PEPTIDE) SYNTHESIS FROM BETA-LACTAM MONOMERS**

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of the United States Provisional Patent Application Serial No. 60/316,834 filed August 30, 2001.

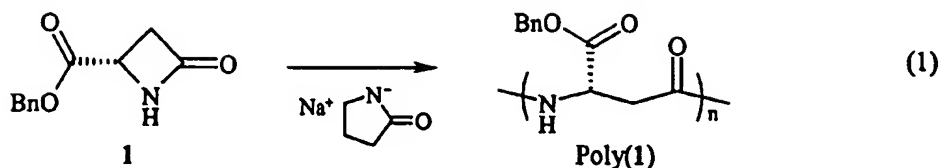
STATEMENT REGARDING FEDERALLY FUNDED RESEARCH

[0002] This invention was made with Government support under Grant Nos. CHE 9701969 and DMR-9632716 awarded by the National Science Foundation. The Government has certain rights in this invention.

BACKGROUND

[0003] The synthesis and characterization of oligomers of β -amino acids, named β -peptides, has received considerable interest in recent years.¹⁻⁴ We have been interested in the preparation of poly(β -peptides) to compare these materials with poly(α -peptides) and extend the homologue comparison to polymeric materials.⁵ Poly(β -peptides) have been prepared via condensation of short peptide sequences,⁶⁻⁹ polymerization of β -aminoacid-N-carboxyanhydrides,^{5,10-12} and polymerization of β -lactams.¹³⁻¹⁷ The first two methods involve tedious monomer preparations and yield only low molecular weight oligomers. However, the ring-opening of β -lactams has been shown to yield high molecular weight polymers in certain cases.¹³⁻¹⁷ These polymerization reactions are not optimized in that chain length is difficult to control, and side reactions such as imide formation, racemization of chiral centers, and branching lead to heterogeneous products and low yields.^{14,17}

[0004] The polymerization of β -lactams was first reported by Bestian,^{13a} who prepared high molecular weight poly(β -peptides) from racemic monomers bearing small alkyl side-chains. Functional side-chains, similar to those found on natural amino acids, would be more desirable since they can impart biological activity to β -peptides. In this area, Muñoz-Guerra and coworkers have reported considerable studies on poly(α -alkyl- β -aspartates),¹⁴ taking advantage of the availability of L-aspartic acid, the only naturally occurring proteinogenic β -amino acid. The β -lactams of aspartic acid esters were polymerized anionically using initiators such as sodium pyrrolidone or sodium hydride (eq 1).



Under certain conditions, racemization and the formation of imide linkages could be minimized, however chain lengths could not be controlled by monomer to initiator stoichiometry, and monomer conversions were typically seldom greater than 80%.¹⁴ The best reported control in β -lactam polymerizations was obtained by Šebenda who was able to prepare narrow molecular weight distribution, low molecular weight poly(β -peptides) via anionic β -lactam polymerization using an N-acyl lactam activator.¹⁷ In addition to requiring α,α -dialkyl substituted monomers, these were not living polymerizations since proton transfer from backbone amide groups was found to deactivate the growing chains.¹⁷ Hence, branched polymers were obtained and block copolymers could not be prepared.

SUMMARY

[0005] Recently, we have had success using metal catalysis to obtain well-defined block copolymers of α -amino acids that display useful properties.¹⁸ We now report the discovery that certain metal-amido complexes can initiate the living polymerization of β -lactams to give poly(β -peptides) and block copoly(β -peptides) with controllable chain lengths and narrow molecular weight distributions.

[0006] A series of initiators based on transition metal complexes for the polymerization of optically active beta-lactams into poly-beta-peptides and block copolymers have been developed. These initiators are substantially different in nature from all known conventional initiators used to polymerize beta-lactams and are also unique in being able to control these polymerizations so that block copolymers of beta-amino acids can be prepared. Specifically, these initiators eliminate chain transfer and chain termination side reactions from these polymerizations resulting in narrow molecular weight distributions, molecular weight control, and the ability to prepare copolymers of defined block sequence and composition. They also eliminate the formation of imide linkages in the polymers during polymerization of beta-lactams, a common detriment in conventional polymerization of these monomers. The features provided by these initiators allow

the preparation of complex poly-beta-peptide biomaterials having potential applications in medicine (drug delivery, therapeutics, tissue engineering), as "smart" hydrogels (responsive organic materials), and in organic/inorganic biomimetic composites (artificial bone, high performance coatings).

BRIEF DESCRIPTION OF THE DRAWINGS

[0007] Figure 1 shows the specific viscosities of poly(β -peptides) as functions of monomer to initiator ratio for different initiators. All polymerizations were carried out at 20 °C in CH₂Cl₂ at an initial concentration of the β -lactam of α -benzyl-L-aspartic acid [1] = 0.02 M. [M]/[I] = initial [1]/[initiator]. Specific viscosities were measured in dichloroacetic acid solution ([poly(1)] = 0.165 g/dL) at 25.0 \pm 0.1 °C using an Ubbelohde type capillary viscometer. A = poly(1) prepared using Sc(N(TMS)₂)₃; B = poly(1) prepared using BDIMgN(TMS)₂; C = poly(1) prepared using DepeNiAA.

[0008] Figure 2 shows the molecular weight of poly(4) versus monomer conversion. Polymerization was carried out at 20 °C in CH₂Cl₂ using Sc(N(TMS)₂)₃ initiator 2 with an initial concentration of (S)-4-(2-(2-(2-Methoxyethoxy)ethoxy)ethoxy)ethoxycarbonyl-2-azetidinone [4] = 0.02 M and [4]/[2] = 150. A = theoretical molecular weight calculated from monomer conversion. B = molecular weight of poly(4) determined by GPC/light scattering in 0.1 M LiBr in DMF at 60 °C (dn/dc = 0.105 mL

[0009] Figure 3 shows the CD spectrum of poly(4) at 20 °C.

[0010] Figure 4 shows the intrinsic viscosities ([η]) of poly(1) prepared using 2 at different [M]/[I] ratios.

[0011] Figure 5 shows the specific viscosity (η_{sp}) of poly(1) at different monomer conversions.

[0012] Figure 6 shows the kinetic analysis of a beta-lactam polymerization reaction by plotting the log of the lactam concentration versus polymerization time.

DETAILED DESCRIPTION OF THE INVENTION

[0013] Based upon the success of amido-containing metallacycles as initiators for poly(α -peptide) synthesis,^{18b} we explored the potential of using metal-amido complexes to control β -lactam polymerizations. We screened a number of known metal-amido complexes and sodium pyrrolidone for their ability to initiate and control

polymerization of (S)-4-(Benzyloxycarbonyl)-2-azetidinone (1) (Tables 1 and 2). From these studies, it appeared that most of these complexes were efficient initiators, although polymerization activity varied widely among the different complexes.

Methods and Compositions for Making Poly(β -Peptides)

[0014] One embodiment of the present invention is a method of making a poly (β -peptide). In this method beta lactam monomers are combined with a transition metal complex for a time and under conditions effective to polymerize the beta lactam monomer and to form the poly (β -peptide).

[0015] Another embodiment of the present invention is a composition, which includes key components of the reaction mixture used in making poly (β -peptides). Such compositions will typically include the beta lactam monomers and a transition metal complex comprising a transition metal and a nucleophilic ligand.

[0016] We conducted beta-lactam polymerization studies with a variety of transition metal complexes. The most important parameter for identifying a suitable initiator was control over polymer chain length as functions of both monomer conversion and stoichiometry of monomer to initiator.¹⁹

[0017] A variety of transition metal complexes have been found by us to give controlled polymerizations of beta-lactams. These results are summarized in Tables 1 and 2. Different metal centers and ligands combinations can be used to substantially alter the polymerization rate and the relative degree of polymerization (it appears that with some metal complex initiators, chain initiation is less than 100% efficient, yet there are little or no chain breaking reactions during chain growth). In nearly all cases, poly beta-peptide chain length (as estimated by polymer viscosity in dichloroacetic acid (DCA)) can be controlled by the monomer to initiator stoichiometry.

TABLE 1
POLYMERIZATION OF (S)-4-BENZYLOXYCARBONYL-2-AZETIDINONE (1)
USING TRANSITION METAL INITIATORS

Initiator (I)	[M] /[I]	Solvent	Conc. (M)	Reaction Time (h)	Yield (%)	Comments
DEPENiAA	25	CH ₂ Cl ₂	0.02	12	100	$\eta_{sp} = 0.45$
DEPENiAA	50	CH ₂ Cl ₂	0.02	12	100	
DEPENiAA	100	CH ₂ Cl ₂	0.02	24	99	$\eta_{sp} = 0.45$
Co(PMe ₃) ₄	25	CH ₂ Cl ₂	0.02	3	100	$\eta_{sp} = 0.42$, $[\eta] = 1.61$
Co(PMe ₃) ₄	50	CH ₂ Cl ₂	0.02	10	100	$\eta_{sp} = 0.659$, $[\eta] = 2.22$
Co(PMe ₃) ₄	100	CH ₂ Cl ₂	0.02	12	99	$\eta_{sp} = 1.051$, $[\eta] = 3.66$
Co(PMe ₃) ₄	200	CH ₂ Cl ₂	0.02	24	95	
Co(PMe ₃) ₄	100	CH ₂ Cl ₂	0.02	Quench 0.5	5	Polymerizations vs. % conversion Polymer isolated as powder
Co(PMe ₃) ₄	100	CH ₂ Cl ₂	0.02	1.5	10	Polymerization solution least viscous
Co(PMe ₃) ₄	100	CH ₂ Cl ₂	0.02	5	51	Polymer isolated as powder
Co(PMe ₃) ₄	100	CH ₂ Cl ₂	0.02	8	86	Polymer isolated as long fiber
						Polymer isolated as long fiber, polymerization solution most viscous
Zn(NTMS) ₂	5	CH ₂ Cl ₂	0.1	24	98	Low viscosity, slow reaction
Zn(NTMS) ₂	100	CH ₂ Cl ₂	0.1	72	65	Viscous, slow reaction
Pt(PEt ₃) ₄	25	CH ₂ Cl ₂	0.1	0.3	99	Very fast polymerization, similar to BDI- Mg-N(TMS) ₂ , solution very viscous
BDI-Mg-N(TMS) ₂	5	CH ₂ Cl ₂	0.02	0.1	100	$\eta_{sp} = 0.196$, slightly pink colored
BDI-Mg-N(TMS) ₂	25	CH ₂ Cl ₂	0.02	0.1	100	$\eta_{sp} = 0.536$
BDI-Mg-N(TMS) ₂	100	CH ₂ Cl ₂	0.02	0.3	100	$\eta_{sp} = 0.949$
Sc(NTMS) ₂ h	25	CH ₂ Cl ₂	0.02	4	100	$\eta_{sp} = 0.159$, reaction solution viscosity increases with conversion.
Sc(NTMS) ₂ h	100	CH ₂ Cl ₂	0.02	12	98	$\eta_{sp} = 0.304$, reaction solution viscosity increases with conversion
Cr(N(TMS) ₂) ₃	25	CH ₂ Cl ₂	0.02	48	15	Reaction incomplete, very slow polymerization
Cr(N(TMS) ₂) ₃	100	CH ₂ Cl ₂	0.02	48	8	Reaction incomplete, very slow polymerization
Cr(N(TMS) ₂) ₃	25	THF	0.02	12	75	Polymerization much faster than that in CH ₂ Cl ₂ , polymer stays in solution
Cr(N(TMS) ₂) ₃	100	THF	0.02	12	47	Reaction incomplete, polymer precipitates from THF during polymerization
Co(N(TMS) ₂) ₂	50	CH ₂ Cl ₂	0.02	24	98	Similar to Co(PMe ₃) ₄
Ru-Amido Complex	25	CH ₂ Cl ₂	0.1	48	76	Reaction incomplete

a Polymer concentration = 21.4 mg polymer in 13 mL DCA solution, 25 ± 0.1°C.

b Data (average of three measurements) collected between $\eta_{sp} = 0.1-0.5$ in DCA solution, 25 ± 0.1°C.

Abbreviations: DEPENiAA = (1,2-(CH₃CH₂)₂P)CH₂CH₂Ni(NHCH(CH(CH₃)₂)C(O)NH₂C(CH₃)₃). TMS = (CH₃)₃Si-.
BDI = 2-((2,6-diisopropylphenyl)amido)-4-((2,6-diisopropylphenyl)imino)-2-pentene. Ru-Amido complex = (para-
cymene)Ru(NHCH₂CH₂NS(O)₂C₆H₅CH₃).

TABLE 2
POLYMERIZATION OF 1 USING TRANSITION METAL INITIATORS.

Initiator	Solvent	[M]/[I] ^a	[M] ^b	Time (hr)	Yield (%) ^c
Sodium 2-pyrrolidone	CH ₂ Cl ₂	100	0.02	0.1	64
DepeNiAA ^d	CH ₂ Cl ₂	100	0.02	24	99
DepeNiAA	DMF	100	0.02	24	0
DepeNiAA	CH ₃ CN	100	0.02	24	53
DepeNiAA	THF	100	0.02	24	47
Co(N(TMS) ₂) ₂	CH ₂ Cl ₂	50	0.02	24	98
Mg(N(TMS) ₂) ₂	CH ₂ Cl ₂	100	0.02	0.2	100
BDIMgN(TMS) ₂ ^e	CH ₂ Cl ₂	100	0.02	0.3	100
Sc(N(TMS) ₂) ₃ (2)	CH ₂ Cl ₂	100	0.02	12	98
Cu(N(TMS) ₂) ₂	CH ₂ Cl ₂	100	0.01	12	99
Zn(N(TMS) ₂) ₂	CH ₂ Cl ₂	100	0.1	72	65
BDIZnN(TMS) ₂ ^e	CH ₂ Cl ₂	100	0.1	72	24
Fe(N(TMS) ₂) ₃	CH ₂ Cl ₂	100	0.02	24	87
Cr(N(TMS) ₂) ₃	CH ₂ Cl ₂	100	0.02	48	8
Cp ₂ TiClNMe ₂	CH ₂ Cl ₂	25	0.02	48	0

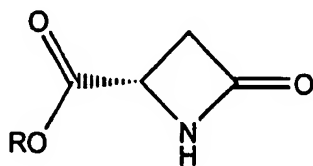
a) [M]/[I] = [1]/[initiator]; b) [M] = Initial concentration of 1; c) Total isolated yield of poly(1); d) DepeNiAA = (1,2-bis(diethylphosphino)ethane)Ni(NHCH(CH₃)₂-C(O)NC(CH₃)₃); e) BDI = 2-((2,6-diisopropylphenyl)amino)-4-((2,6-diisopropyl-phenyl)imino)-2-pentene.

[0018] Illustrative examples of transition metals useful in the generation of initiators are provided in Tables 1 and 2. Complexes of the metals Ni, Co, Cu, Fe, Sc, and Mg appeared most promising as they gave near quantitative yields of polymer with no detectable imide content or racemization, as is found in the anionic polymerizations.^{14,17} While some metal complexes (e.g. those of Mg) were extremely active and gave complete consumption of monomer within minutes, they also gave molecular weights, estimated by viscosity measurements, that were far greater than predicted by monomer to initiator stoichiometry. These results indicated that only a fraction of the total amount of metal complex was active during polymerization. Better results were obtained with $\text{Sc}(\text{N}(\text{TMS})_2)_3$ (**2**) as polymer chain lengths were much lower, correlating well with the low monomer to initiator ratios, and indicating that a greater portion of the scandium centers were active (Figure 1).

[0019] The key feature that appears to be required for successful initiator formation is the presence of a basic or nucleophilic ligand (e.g. $-\text{N}(\text{TMS})_2$) on the metal that is able to react with the beta-lactam monomer. In some cases, (e.g. with $\text{Co}(\text{PMe}_3)_4$) the reactive ligand is generated *in situ* by reaction of the metal complex with either solvent or monomer. Accordingly, preferred versions of the present invention utilize a transition metal amido complex that includes a nucleophilic ligand such as $\text{N}(\text{TMS})_2$, wherein TMS is trimethyl silyl, or an amido amidate (AA) (e.g., $\text{NHCH}(\text{CH}(\text{CH}_3)_2)\text{C}(\text{O})\text{NH}_2\text{C}(\text{CH}_3)_3$).

[0020] Preferred transition metal complexes utilizing the $\text{N}(\text{TMS})_2$ ligand include $\text{Sc}(\text{N}(\text{TMS})_2)_3$, $\text{Zn}(\text{N}(\text{TMS})_2)_2$, $\text{Cr}(\text{N}(\text{TMS})_2)_3$, $\text{Co}(\text{N}(\text{TMS})_2)_2$, $\text{Cu}(\text{N}(\text{TMS})_2)_2$, $\text{Mg}(\text{N}(\text{TMS})_2)_2$, and $\text{Fe}(\text{N}(\text{TMS})_2)_3$, as well as $\text{BDIMgN}(\text{TMS})_2$, or $\text{BDIZnN}(\text{TMS})_2$, wherein BDI is 2-((2,6-diisopropylphenyl)amido)-4-((2,6-diisopropylphenyl)imino)-2-pentene). Additional transition metal complexes for use in the present invention include DEPENiAA , wherein DEPE is 1,2-bis(dimethylphosphino)ethane and AA is an amido amidate having the formula $\text{NHCH}(\text{CH}(\text{CH}_3)_2)\text{C}(\text{O})\text{NH}_2\text{C}(\text{CH}_3)_3$; $\text{Co}(\text{PMe}_3)_4$, wherein Me is methyl, and a Ru-amido complex having the formula (para-cymene) $\text{Ru}(\text{NHCH}_2\text{CH}_2\text{NS}(\text{O})_2\text{C}_6\text{H}_5\text{CH}_3)$.

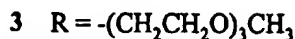
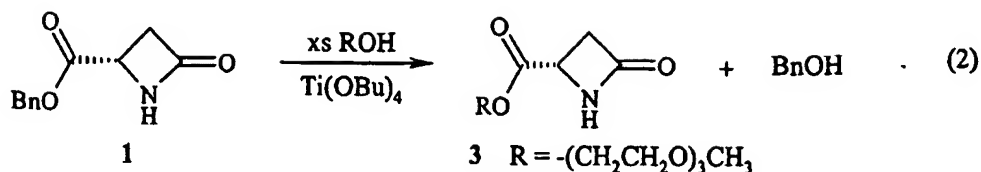
[0021] β -Lactam monomers suitable for use in the present invention are known, and may include derivatives of aspartic or glutamic acid. Preferably the β -lactam is an aspartic acid derivative having the general formula:



wherein R is an alkyl, aryl, oligo-ethylene glycol monomethyl moiety or side chain protecting group. As described in further detail below, β -lactam derivatives can be synthesized having an oligo-ethylene glycol monomethyl moiety of the general formula $-(CH_2CH_2O)_nCH_3$, wherein n is one to twenty.

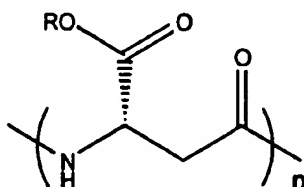
[0022] The polymerization systems we have developed allow controlled polymerization of beta-lactams that are derived from naturally occurring amino acids. As such, the resulting poly(beta-peptides) should be able to present functionality that is able to interact with biological systems, leading to applications in the biomedical arena. The readily available β -lactam of α -benzyl-L-aspartic acid (1)²⁰ was chosen as a useful monomer to evaluate different initiators. This monomer, and its corresponding polymer, have been extensively studied by others¹⁴ and allowed us to compare our results to existing polymerization systems.

[0023] In order to obtain more quantitative molecular weight data, we synthesized a monomer that would form a poly(β -peptide) with solubility in common solvents better than found for poly(1). Transesterification of 1 with tri- and tetra-ethyleneglycol monomethyl ethers according to the procedure of Muñoz-Guerra²¹ gave the ethylene glycol substituted monomers (S)-4-(2-(2-(2-Methoxyethoxy)ethoxy)ethoxy)ethoxycarbonyl-2-azetidinone (3) and (S)-4-(2-(2-(2-(2-Methoxyethoxy)ethoxy)ethoxy)ethoxy)ethoxycarbonyl-2-azetidinone (4) (eq 2).



[0024] The polymer of 4 was found to be highly soluble in many solvents including H₂O and DMF such that accurate molecular weight data could be obtained using tandem LS-GPC. (See examples) Polymerization of 4 with the initiator 2 at different monomer to initiator ratios and at different extents of reaction gave the data in Table 3 and Figure 2. Poly(β -peptide)s were obtained with narrow molecular weight distributions (MWD) and chain lengths could be controlled by both stoichiometry and monomer conversion, characteristic of a living polymerization system.¹⁹ Also supporting this assessment, kinetic analysis of polymerizations showed them to be first order in monomer concentration with no deviation to 4 half-lives, (see examples) indicating no detectable chain termination. Since measured molecular weights were greater than predicted by theory, it is likely that not all of the metal complex is active in initiating chain growth.

[0025] Another embodiment of the present invention is the product of the foregoing methods, in particular a poly(β -peptide) having the formula:



wherein R is an alkyl, aryl, oligo-ethylene glycol monomethyl, or side-chain protecting group and n is about 5 to about 200. Preferably the poly (β -peptide) will a polydispersity index of about 1 to about 1.3 and a molecular weight of about 10,000 to about 250,000.

Metalated Lactam Intermediates

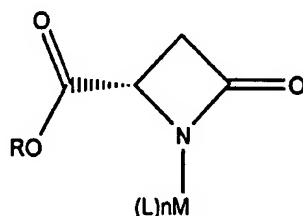
[0026] The general characteristics of the metal initiators in these polymerizations are either the presence or formation of a basic/nucleophilic ligand on the metal that is able to interact with the beta-lactam monomer to begin polymerization.

[0027] Preliminary mechanistic studies using ^1H NMR revealed that $\text{HN}(\text{TMS})_2$ was liberated upon addition of β -lactam monomers to 2. (See examples) These data suggest that the resulting metalated lactams are the initiating species in the polymerizations. It appears that the increased covalent nature of the metal-nitrogen bonds in these complexes, relative to alkali metal counterparts, serves to substantially eliminate side reactions in these polymerizations.

[0028] Accordingly, another embodiment of the present invention is method of making a metalated lactam, which involves combining a beta lactam monomer and a complex comprising a transition metal and a reactive nucleophilic ligand, for a time and under conditions effective liberate a reactive ligand and to form the metalated lactam.

[0029] Yet another embodiment of the present invention is a composition containing key components of the reaction mixture, which can include the beta lactam monomer, the transition metal complex, and the metalated lactam intermediate.

[0030] Preferred versions of the metalated lactam have the following formula:



wherein R is an alkyl, aryl, oligo-ethylene glycol monomethyl moiety or side chain protecting group; M is a transition metal, L is a ligand and n is 1, 2 or 3.

Block Copoly(β -Peptides)

[0031] With such a well-behaved system, the preparation of block copolymers is now feasible. Accordingly another embodiment of the present invention is a method

of making a block copoly (β -peptides), which includes at least two steps. For the first step, a first beta lactam monomer and a transition metal complex are combined for a sufficient time and under conditions effective to polymerize the first beta lactam monomer and to form a first block of the block copoly (β -peptide). For the next step, a second beta lactam monomer, which is different than the first monomer, is added to the reaction mixture to form a second block attached to the first block. Moreover, the second step can be repeated to form tri-block or multisegment block copoly (β -peptides).

[0032] Using initiator 2, we were able to prepare the first examples of di- and tri-block copoly(β -peptides) (Table 3). LS-GPC chromatograms of the initial segments and complete block copoly(β -peptides) were all unimodal with narrow MWD, indicating no deactivation of growing chain ends (e.g. by the deprotonation of backbone amide linkages)¹⁷ in between the sequential monomer additions. Copolymer molecular weights were found to increase as expected on growth of each block segment while polydispersities remained low. No homopolymer contaminants could be detected by selective solvent extractions, and NMR measurements confirmed the expected comonomer compositions and lack of chain branching. (See examples) Using 2, we were also able to synthesize a triblock copolymer, poly(4)₄₅-*b*-poly(1)₁₀-*b*-poly(4)₄₅, which gave a unimodal GPC peak with $M_n = 58\,350$ and $M_w/M_n = 1.17$ indicating that sequences of greater complexity can be prepared. (See examples)

[0033] With the ability to readily transesterify 1, a variety of different side-chain functionalized poly(β -aspartates) can be prepared to modify the properties of the corresponding polymers (Table 3). For example, block copolymerization of 4 with 5 gave surfactant-like hydrophilic-*b*-hydrophobic materials. Accordingly, a preferred version of the present invention is an amphiphilic block copoly (β -peptide), the first block having one or more hydrophilic side chains and the second block having one or more hydrophobic side chains. For example, the hydrophilic side chains of the first block can be charged or oligo-ethylene glycol functionalized side chains and the hydrophobic side chains of the second block can be alkyl or aryl esters.

TABLE 3
SYNTHESIS OF POLY(β -PEPTIDES) AND BLOCK COPOLY(β -PEPTIDES) USING
2 AT 20 °C.

Solvent	First segment			Diblock copolymer			Yield (%) ^d
	4 ^a	\bar{M}_n ^b	\bar{M}_w / \bar{M}_n ^b	2nd monomer _a	\bar{M}_n ^c	\bar{M}_w / \bar{M}_n ^c	
CH ₂ Cl ₂	10	19 820	1.19	-	-	-	97
CH ₂ Cl ₂	25	25 910	1.19	-	-	-	98
CH ₂ Cl ₂	50	49 980	1.23	-	-	-	96
CH ₂ Cl ₂	75	62 870	1.07	-	-	-	95
CH ₂ Cl ₂	150	100 500	1.21	-	-	-	94
THF	25	49 580	1.15	-	-	-	99
THF	75	96 470	1.07	-	-	-	97
DMF	25	-	-	-	-	-	0
DMF	75	-	-	-	-	-	0
CH ₂ Cl ₂	50	49 980	1.23	5 1	54 790	1.26	95
CH ₂ Cl ₂	25	32 000	1.07	50 3	70 550	1.09	94
CH ₂ Cl ₂	20	20 010	1.20	50 4	72 590	1.09	93
CH ₂ Cl ₂	50	49 980	1.23	10 5	61 220	1.25	95

a) First monomer (4) and second monomers added stepwise to the initiator, number indicates equivalents of monomer per 2; b) Molecular weight and polydispersity index after polymerization of the first monomer (as determined by tandem GPC/light scattering in 0.1M LiBr in DMF at 60 °C); c) Molecular weight and polydispersity index after polymerization of the second monomer; d) Total isolated yields of poly(4) and block copoly(β -peptides).

[0034] These copolymers should also display interesting properties arising from their ability to adopt ordered chain conformations in solution. Using CD spectroscopy, Poly(4) was found to adopt a 3_1 -helix in H₂O, (see examples) similar to the conformations found for poly(1) and other short β -peptide sequences.² Like β -peptide oligomers, these block copolymers can be thought of as mimics of their α -peptide analogs with the benefit of increased stability against enzymatic degradation. Thus, identification of these initiators for β -lactam polymerizations opens up many new areas of investigation for β -peptide materials.

[0035] Thus, yet another embodiment of the present invention is a block copoly (β -peptide) comprising a first block and a second block attached to the first block, the first block having ten or more identical first beta amino acid residues and the second block having ten or more identical second beta amino acid residues. Preferably the block copoly (β -peptide) has a narrow molecular weight range reflected by a polydispersity index of about 1 to about 1.3.

[0036] The key of our discovery here is that, for the first time, it has been demonstrated that metal complexes can be used to control the molecular weight of poly beta-peptides and allow the preparation of block copoly-beta-peptides.

EXAMPLES

General.

[0037] Tetrahydrofuran, hexane, dichloromethane, dimethylformamide, and diethyl ether were dried by passage through alumina under nitrogen prior to use.²² Chemicals were purchased from commercial supplies and used without purification. NMR spectra were recorded on a Bruker AVANCE 200 and 500 MHz spectrometer. Tandem gel permeation chromatography/light scattering (GPC/LS) was performed on a SSI Accuflo Series III liquid chromatograph pump equipped with a Wyatt DAWN DSP light scattering detector and Wyatt Optilab DSP. Separations were effected by 10⁵Å and 10³Å Phenomenex 5 μ m columns using 0.1M LiBr in DMF eluent at 60 °C. Viscosity measurements of poly(1) were made in dichloroacetic acid (DCA) solution using an Ubbelohde type capillary viscometer at 25 \pm 0.1 °C. Circular Dichroism measurements were carried out on an Olis Rapid Scanning Monochromator running in conventional scanning mode at room temperature. The path length of the quartz cell was 1.0 mm and the concentration of polypeptide was

0.2 - 1.0 mg/mL. Optical rotations were measured on a Jasco Model P1020 Polarimeter using a 1 mL volume cell (1 dm length). Infrared spectra were recorded on a Perkin Elmer RX1 FTIR Spectrophotometer calibrated using polystyrene film. Deionized water (18 M Ω -cm) was obtained by passing in-house deionized water through a Barnstead E-pure purification system. (1,2-Bis(diethylphosphino)ethane)-Ni(NHCH(CH(CH₃)₂)C(O)NC(CH₃)₃) (DepeNiAA) was prepared as previously described.²³ Zn(N(TMS)₂)₂ was purchased from Aldrich and used without further purification. 2-((2,6-diisopropylphenyl)amino)-4-((2,6-diisopropylphenyl)imino)-2-pentene (BDI-H),²⁴ 5,²¹ Co(N(TMS)₂)₂,²⁵ Sc(N(TMS)₂)₃ (2),^{25,26} Cu(N(TMS)₂)₂,²⁷ Fe(N(TMS)₂)₃,^{25,26} Cr(N(TMS)₂)₃,^{25,26} Mg(N(TMS)₂)₃,²⁸ BDIZnN(TMS)₂,²⁹ and BDIMgN(TMS)₂³⁰ were synthesized according to the literature procedures.

(S)-4-(Benzyloxycarbonyl)-2-azetidinone (1).

[0038] 1 was synthesized according to a procedure similar to that reported by Salzmann.²⁰ A modified procedure³¹ was adopted to achieve higher yields of 1. Commercially available L-aspartic acid dibenzyl ester *p*-toluenesulfonate salt (25 g 0.052 mol) was charged to a dry schlenk flask. The substrate in the flask was dried under high vacuum for 2 h. Dry CH₂Cl₂ (450 mL) was transferred to the flask under N₂ and then the solution was cooled to 0 °C using an ice bath. Triethyl amine (15.8 mL, 0.1133 mol, 2.2 eq) was added and followed by addition of TMSCl (6.588 mL, 0.052 mol). The solution was slowly warmed to room temperature and stirred for an additional 12 h. The solution was then cooled again to 0 °C and *tert*-BuMgCl (77 mL of a 2.0 M solution in diethyl ether, 3.0 eq) was slowly added to the mixture. The solution was kept at 0 °C for 2 h and then slowly warmed to room temperature. The reaction was stopped 10 h later by addition of 200 mL wet CH₂Cl₂. The organic phase was washed with 1N HCl (2 × 400 mL), saturated NaHCO₃ (2 × 400 mL) and brine (2 × 400 mL). The organic phase was dried over MgSO₄. After the solvent was removed, a yellow solid was obtained. The solid was purified by crystallization from CH₃OH and then sublimation at 110 °C under high vacuum to give 1 as white crystals (6.1 g, 55 %). FTIR (THF): 1779 cm⁻¹ (νCO, lactam, s), 1747 cm⁻¹ (νCO, ester, s). ¹H NMR (CDCl₃, 500MHz) δ 7.25 (s, 5H, CH₂C(O)NHCH(CO₂CH₂C₆H₅)), 6.0 (br, 1H, CH₂C(O)NHCH(CO₂CH₂C₆H₅)), 5.15 (s, 2H, CH₂C(O)NHCH(CO₂CH₂C₆H₅)), 4.24 (dd, 1H, CH₂C(O)NHCH(CO₂CH₂C₆H₅)), 3.36 (ddd, 1H, CH₂C(O)NHCH(CO₂CH₂C₆H₅)), 3.15 (ddd, 1H,

$\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{C}_6\text{H}_5)$). ^{13}C NMR (CDCl_3 , 200 MHz) δ 171.0, 166.5, 135.1, 128.9, 128.7, 67.7, 47.5, 43.8. FTIR, ^1H NMR and ^{13}C NMR spectra of this compound were identical to literature data for **1**.¹²

(S)-4-(2-(2-(2-Methoxyethoxy)ethoxy)ethoxy)carbonyl-2-azetidinone (3).

[0039] **3** was prepared by transesterification of **1** with triethyleneglycol monomethyl ether following the procedure reported by Muñoz-Guerra et al.²¹ A vigorously stirred solution of **1** (2.05 g, 10 mmol) and titanium(IV) tetrabutoxide (0.1 g, 0.3 mmol) in dry tri(ethylene glycol) monomethyl ether (20 mL) was heated at 90 °C for 12 h. The course of transesterification was followed by TLC. The reaction was assumed to be complete when no trace of UV absorption indicative of **1** was detectable. The unreacted tri(ethylene glycol) monomethyl ether was distilled off under vacuum and can be reused. The remaining brown residue was purified by passage through a silica gel column (ethyl acetate and hexane, 1:1). The eluent fractions containing product were combined and the solvent was evaporated under vacuum to afford a light yellow oil. Pure compound **3** was obtained by vacuum distillation of this oil (1.21 g, 46%). FTIR (THF): 1779 cm^{-1} (νCO , lactam, s), 1745 cm^{-1} (νCO , ester, s). ^1H NMR (CDCl_3 , 500 MHz) δ 6.73 (br, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 4.36 (m, 2H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 4.19 (dd, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.71 (m, 2H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.63 (m, 6H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.54 (m, 2H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.37 (s, 3H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.26 (ddd, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.08 (ddd, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$). ^{13}C NMR (CDCl_3 , 200 MHz) δ 171.4, 166.4, 72.2, 71.0, 70.9, 70.8, 69.1, 64.9, 59.3, 47.6, 43.9. $[\alpha]_D^{25}$: -15.1 (C 0.52 in THF) MS calcd: 261.28; found: 262.33 (MH^+).

(S)-4-(2-(2-(2-(2-Methoxyethoxy)ethoxy)ethoxy)ethoxy)carbonyl-2-azetidinone (4).

[0040] **4** was prepared by transesterification of **1** with tetraethyleneglycol monomethyl ether following a procedure similar to the synthesis of **3**. A vigorously

stirred solution of **1** (2.05 g, 10 mmol) and titanium(IV) tetrabutoxide (0.1 g, 0.3 mmol) in dry tetra(ethylene glycol) monomethyl ether (25 mL) was heated at 85 °C for 8-10 h. The course of transesterification was followed by TLC. The reaction was assumed to be complete when no trace of UV absorption indicative of **1** was detectable. The unreacted tetra(ethylene glycol) monomethyl ether was distilled off under vacuum and can be reused. The light brown residue was then passed through a silica gel column (MeOH /ethyl acetate, 1:20). The eluent fractions containing product were combined and the solvent was evaporated under vacuum to afford the product as a colorless oil. (1.21 g, 42 %). FTIR (THF): 1779 cm^{-1} (νCO , lactam, s), 1745 cm^{-1} (νCO , ester, s). ^1H NMR (CDCl_3 , 500 MHz) δ 6.63 (br, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 4.34 (m, 2H $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 4.19 (dd, 1H $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.71-3.63 (m, 12H $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.55 (m, 2H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.35 (s, 3H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.25 (ddd, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$), 3.09 (ddd, 1H, $\text{CH}_2\text{C}(\text{O})\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)$). ^{13}C NMR (CDCl_3 , 200 MHz) δ 171.5, 166.3, 72.9, 71.0, 70.9, 69.1, 65.0, 59.4, 47.6, 43.9. $[\alpha]_D^{25}$: -10.9 ($C = 0.26$ in THF). MS calcd: 305.33; found: 328.43 (MNa^+).

Example Polymerization of **1** with $\text{Co}(\text{PMe}_3)_4$

[0041] In the dry box, monomer **1** (205 mg, 1 mmol) was dissolved in CH_2Cl_2 (5 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of $\text{Co}(\text{PMe}_3)_4$ (40 μL of a 0.05 M solution in THF) was then added via syringe to the flask. A stirbar was added and the flask was sealed, removed from the dry box, and stirred at room temperature for 24 h. Polymer was isolated by addition of the reaction mixture to methanol causing precipitation of the polymer. The polymer was then washed with methanol several times. The polymer was dried *in vacuo* to give poly(α -benzyl-L-aspartate), poly(**1**), as a fibrous solid (205mg, 100% yield). FT-IR (CHCl_3): 1745 cm^{-1} (νCO , ester, s), 1652 cm^{-1} (νCO , amide I, br vs), 1552 cm^{-1} (νCO , amide II, br s). No infrared absorptions characteristic of poly(imide) formation (ca. 1710 cm^{-1}) were observed. ^1H NMR (TFA-d): δ 7.61 (s, 5H, $-(\text{NHCH}_2\text{CH}(\text{CO}_2\text{CH}_2\text{C}_6\text{H}_5)\text{C}(\text{O}))_n-$), 5.60 (d, 1H, -

(NHCH₂CH(CO₂CH₂C₆H₅)C(O))-, J = 11.8 Hz), 5.43(d, 1H, -(NHCH₂CH(CO₂CH₂C₆H₅)C(O))-, J = 11.8 Hz)), 5.17 (br, 1H, -(NHCH₂CH(CO₂CH₂C₆H₅)C(O))-, 3.46 (d, 1H, -(NHCH₂CH(CO₂CH₂C₆H₅)C(O))-, J = 12.7 Hz), 3.17 (d, 1H, -(NHCH₂CH(CO₂CH₂C₆H₅)C(O))-, J = 12.7 Hz). ¹³C (TFA-d): 175.0, 174.7, 136.3, 131.4, 131.0, 130.8, 72.0, 52.0, 38.6. DEPT 135 (TFA-d): 131.4 up, 131.0 up, 130.8 up, 72.0 down, 52.0 up, 38.6 down.

Polymerization of 1 using 2.

[0042] In the dry box, compound 1 (41 mg, 0.2 mmol) was dissolved in CH₂Cl₂ (10 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of 2 (40 μL of a 0.05 M solution in THF) was then added *via* syringe to the flask. A stirbar was added and the flask was sealed, removed from the dry box, and stirred at room temperature for 24 h. Polymer was isolated by addition of the reaction mixture to methanol causing precipitation of the polymer. The polymer was then washed with methanol for several times and dried under vacuum to give poly(1) as a fibrous solid (40 mg, 98%). FTIR (CHCl₃): 1745 cm⁻¹(νCO, ester, s), 1652 cm⁻¹(νCO, amide I, br vs), 1552 cm⁻¹(νCO, amide II, br s). ¹H NMR (500 MHz, TFA-d): δ 7.61 (s, 5H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-), 5.60 (d, 1H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-, J = 11.8 Hz), 5.43 (d, 1H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-, J = 11.8 Hz)), 5.17 (br, 1H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-), 3.46 (d, 1H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-, J = 12.7 Hz), 3.17 (d, 1H, -(NHCH(CO₂CH₂C₆H₅)CH₂C(O))-, J = 12.7 Hz). ¹³C NMR (500 MHz, TFA-d): δ 175.0, 174.7, 136.3, 131.4, 131.0, 130.8, 72.0, 52.0, 38.6. DEPT 135 NMR (500 MHz, TFA-d): 131.4 (+), 131.0 (+), 130.8 (+), 72.0 (-), 52.0 (+), 38.6 (-). [α]_D²⁵: +5.5 (C = 0.31 in TFA).

Example Polymerization of 3 with Co(PMe₃)₄.

[0043] In the dry box, 3 (261 mg, 1 mmol) was dissolved in CH₂Cl₂ (5 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of Co(PMe₃)₄ (40 μL of a 0.05 M solution in THF) was then added *via* syringe to the flask. A stirbar was added and the flask was sealed, removed from the dry box, and stirred at room temperature for 24 h. Polymer was isolated by addition of the reaction mixture to ethyl ether causing precipitation of the polymer. The polymer was then dissolved in methanol and reprecipitated by addition to ethyl ether. Further

purification of polymer was achieved by dialysis in water followed by freeze-drying. The polymer, poly(α -(2-(2-(2-methoxyethoxy)ethoxy)ethyl)-L-aspartate), poly(3), was obtained as a fibrous solid (258mg, 98% yield). FT-IR (THF): 1745 cm^{-1} (νCO , ester, s), 1652 cm^{-1} (νCO , amide I, br vs), 1552 cm^{-1} (νCO , amide II, br s). No infrared absorptions characteristic of poly(imide) formation (ca. 1710 cm^{-1}) were observed. ^1H NMR (TFA-d, 500 MHz) 5.37 (br, 1H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 4.87 (br, 1H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 4.72 (br, 1H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 4.23 (br, 10H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 3.89 (br, 3H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 3.58 (br, 1H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), 3.44 (br, 1H, -C(O)NHCH₂CH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-), ^{13}C NMR (CDCl₃, 200 MHz) 175.2, 174.7, 75.2, 74.0, 73.6, 72.9, 69.6, 61.9, 58.6, 54.1, 40.9. GPC of the polymer in 0.1 M LiBr in DMF at 60°C: M_n = 70,600; M_w/M_n = 1.09.

Polymerization of 4 using 2.

[0044] In the dry box, 4 (61 mg, 0.2 mmol) was dissolved in CH₂Cl₂ (10 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of 2 (80 μL of a 0.05 M solution in THF) was then added *via* syringe to the flask. A stirbar was added and the flask was sealed, removed from the dry box, and stirred at room temperature for 24 h. Polymer was isolated by addition of the reaction mixture to ethyl ether causing precipitation of the polymer. The polymer was then dissolved in methanol and reprecipitated by addition to ethyl ether. Further purification of polymer was achieved by dialysis in water. The polymer was freeze dried to give poly(4) as a fibrous solid (59 mg, 96 %). FTIR (THF): 1745 cm^{-1} (νCO , ester, s), 1652 cm^{-1} (νCO , amide I, br vs), 1552 cm^{-1} (νCO , amide II, br s). ^1H NMR (TFA-d, 500 MHz) δ 5.07 (br, 1H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 4.60 (br, 1H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 4.45 (br, 1H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 3.94 (br, 14H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 3.61 (br, 3H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 3.33 (br dd, 1H, -NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O)-), 3.15 (br dd,

^1H , - $\text{NHCH}(\text{CO}_2\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3)\text{CH}_2\text{C}(\text{O})-$. ^{13}C NMR (TFA- d , 200 MHz) δ 175.0, 174.6, 112.1, 76.5, 73.3, 72.1, 71.7, 71.0, 67.7, 60.0, 58.6, 54.1, 40.9. GPC of the polymer in 0.1 M LiBr in DMF at 60 °C ($dn/dc = 0.105$ mL/g): $M_n = 49\,980$; $M_w/M_n = 1.23$. $[\alpha]^{25}_D$: +41.7 ($C = 0.07$ in TFA).

[0045] The chain conformation of poly(4) was analyzed in water using CD. The CD spectrum of poly(4) at 20 °C showed a maximum at 209 nm and a minimum at 198 nm with molar ellipticities of 8.3×10^3 and -44.8×10^3 deg $\text{cm}^2\text{mol}^{-1}$, respectively (Figure 3). The spectral features of this D-configuration polymer are the near-mirror image of those described by Seebach for the heptamer of L-configuration β -homolysine, which was reported to adopt a 3_1 helical conformation.³²

Example Block Copolymerization of 3 and 1 with $\text{Co}(\text{PMe}_3)_4$.

[0046] In the dry box, 3 (261 mg, 1 mmol) was dissolved in CH_2Cl_2 (5 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of $\text{Co}(\text{PMe}_3)_4$ (40 μL of a 0.05 M solution in THF) was then added *via* syringe to the flask. A stirbar was added and the flask was sealed. After stirring in dry box for 12 hours, a CH_2Cl_2 (5 mL) solution of 1 (205 mg, 1 mmol) was added into the reaction tube which was then stirred at room temperature for an additional 24 h. Polymer was isolated by addition of the reaction mixture to ethyl ether causing precipitation of the polymer. The polymer was then washed with methanol several times and then dried *in vacuo* to give the block copolymer, poly(3)-poly(1), as a fibrous solid (458mg, 98% yield). FT-IR (CHCl_3): 1745 cm^{-1} (νCO , ester, s), 1652 cm^{-1} (νCO , amide I, br vs), 1552 cm^{-1} (νCO , amide II, br s). No infrared absorptions characteristic of poly(imide) formation (ca. 1710 cm^{-1}) were observed.

Block Copolymerization of 4 and 1 using 2.

[0047] In the dry box, 4 (61 mg, 0.2 mmol) was dissolved in CH_2Cl_2 (10 mL) and placed in a 25 mL reaction tube which could be sealed with a Teflon stopcock. An aliquot of 2 (80 μL of a 0.05 M solution in THF) was then added *via* syringe to the flask. A stirbar was added and the flask was sealed. After stirring in dry box for 12 h, a CH_2Cl_2 (0.5 mL) solution of 1 (4.1 mg, 0.02 mmol) was added into the reaction tube, and the contents were stirred at room temperature for an additional 12 h. The block copolymer was isolated by addition of the reaction mixture to ethyl ether causing precipitation of the polymer. The polymer was then dialyzed in water for two

days and then freeze dried to give the copolymer as a fibrous solid (62 mg, 95%). FT-IR (CHCl₃): 1745 cm⁻¹ (νCO, ester, s), 1652 cm⁻¹ (νCO, amide I, br vs), 1552 cm⁻¹ (νCO, amide II, br s). ¹H NMR (TFA-d) δ 7.35 (br s, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 5.30 (dd, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-), 5.07 (br m, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 4.90 (br m, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-), 4.62 (br d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 4.45 (br d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 3.98 (m, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-), 3.64 (s, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 3.33 (d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-), 3.21 (d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-), 3.14 (d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n- (NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)-CH₂C(O))_m-), 2.90 (d, - (NHCH(CO₂CH₂C₆H₅)CH₂C(O))_n-(NHCH(CO₂CH₂CH₂OCH₂CH₂OCH₂CH₂OCH₃)CH₂C(O))_m-). GPC of the polymer in 0.1 M LiBr in DMF at 60 °C: $M_n = 54790$; $M_w/M_n = 1.26$.

Viscosity Measurements.

[0048] Polymer solution viscosities were measured by comparing the time (*t*) required for a specific volume of polymer solution to flow through a capillary tube compared to the time (*t*₀) for pure solvent. Specific viscosity (η_{sp}) and intrinsic viscosity ([η]) are given by η_{sp} = (*t* - *t*₀) / *t*₀ and [η] = [(ln(*t/t*₀) / C)]_{C=0}. [η] was obtained

by plotting η_{sp}/C against C (C = concentration of polymer solution in g/dL) according to the equation: $\eta_{sp}/C = [\eta] + k'C$.³³

[0049] Poly(1) (21.4 mg) was dissolved in DCA to give 13 mL of solution. The solution was then maintained in an Ubbelohde type capillary viscometer for 30 minutes at 25 ± 0.1 °C using a water bath. The time (t) was then measured three times at this temperature and the average of the data was calculated. The intrinsic viscosities of poly(1) prepared using 2 at different $[M]/[I]$ ratios is plotted in Figure 4.

[0050] According to the Mark-Houwink-Sakurada equation, $[\eta] = KM_v^a$, $[\eta]$ is proportional to polymer molecular weight. Figure 4 shows that the molecular weight of poly(1) increased with the monomer to initiator ratio.

Specific viscosity of poly(1) at different monomer conversions.

[0051] In the dry box, 1 (1.03 g, 5 mmol) was dissolved in 200 mL CH_2Cl_2 . A solution of 2 (0.67 mL 0.05 M in THF) was added. The mixture was stirred for 2 min and then separated into four flasks (50 mL aliquots each). Polymerization was terminated at different time intervals by adding methanol to the individual polymerization flasks. The resulting polymers were washed with methanol and dried *in vacuo*. Conversion of monomer was calculated based on the yield of poly(1). η_{sp} values for poly(1) were measured as described above. The plot of η_{sp} vs monomer conversion is shown in Figure 5, and shows an increase in polymer chain length as the reaction proceeds, indicative of the lack of substantial chain transfer reactions.

Molecular weight analysis of poly(4) prepared using 2 as a function of monomer conversion.

[0052] In the dry box, 4 (305 mg, 1 mmol) was dissolved in CH_2Cl_2 (30.5 mL). A solution of 2 in THF (134 μ L, 0.05 M) was added the mixture. The mixture was stirred for 2 min and then separated into four flasks (7.6 mL aliquots each). At different time intervals, monomer conversions were determined by measuring the intensity of the lactam IR stretch at 1779 cm^{-1} for residual monomer in the polymerization solution. Polymerizations were terminated immediately after IR analysis by precipitating the polymers into wet diethyl ether. The resulting polymers were washed with ether and dried *in vacuo*. Molecular weights of the Poly(4) samples were then analyzed by GPC in 0.1 M LiBr in DMF at 60 °C.

Kinetic analysis of polymerization of 4 using 2.

[0053] 4 (100 mg, 0.325 mmol) was dissolved in CH₂Cl₂ (10.0 mL). A solution of 2 in THF (43 μ L, 0.05M) was added to this mixture in the dry box ([4]/[2] = 150). The resulting solution was stirred for 2 min, and then divided into 10 equal portions (1.0 mL) and each injected into an ampule. The ampules were sealed with grease and then placed in a thermostated bath (25 °C). The intensity of the lactam infrared stretching absorption at 1779 cm⁻¹ was measured at various time intervals by injecting an aliquot of polymerization solution into a Wilmad 0.1 mm NaCl cell. The polymerization rate constant ($k_{obs} = 1.53 \times 10^{-3} \text{ s}^{-1}$) was obtained by plotting the log of the lactam concentration versus polymerization time and fitting the data using standard rate expressions (Figure 6).

NMR analysis of polymerization initiation of 1 using 2.

[0054] In the dry box, 1 (4.5 mg, 0.02 mmol) was dissolved in 1 mL dry CDCl₃, and to this a solution of 2 (24 mg in 0.5 mL dry CDCl₃, 2 eq) was added. The solution was stirred for 1 min and transferred to a 5 mm NMR tube, which was sealed with a septum. A ¹H NMR spectrum was acquired for this sample at 25 °C. The CHCl₃ resonance (chemical shift δ 7.27) was used as the internal reference. Two high field peaks were observed: δ 0.28 (Sc(N(Si(CH₃)₃)₂)₃), 0.08 (NH(Si(CH₃)₃)₂); Intensity ratio: 3:1. The resonances were assigned by addition of authentic samples to the reaction mixture.

[0055] The references of the following bibliography are all incorporated herein by reference in their entirety.

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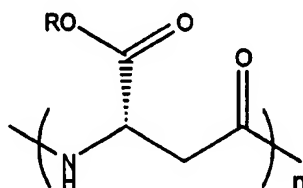
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What is claimed is:

1. A method of making a poly (β -peptide) comprising, combining a beta lactam monomer and a transition metal complex, said complex comprising a transition metal and a nucleophilic ligand, for a time and under conditions effective to polymerize the beta lactam monomer and to form the poly (β -peptide).

2. The method of claim 1, said poly(β -peptide) having the formula:



wherein R is hydrogen or an alkyl, aryl, oligo-ethylene glycol monomethyl, or side-chain protecting group and n is about 5 to about 200.

3. The method of claim 2 wherein R is an oligo-ethylene glycol monomethyl moiety having the formula $-(\text{CH}_2\text{CH}_2\text{O})_n\text{CH}_3$, wherein n is one to twenty.

4. The method of claim 2 wherein R is isobutyl.

5. The method of claim 1, wherein the transition metal is selected from the group consisting of Ni, Co, Cu, Fe, Sc, and Mg.

6. The method of claim 1 wherein the ligand is $\text{N}(\text{TMS})_2$, wherein TMS is trimethyl silyl, or an amido amidate (AA), wherein AA is $(\text{NHCH}(\text{CH}(\text{CH}_3)_2)\text{C}(\text{O})\text{NH}_2\text{C}(\text{CH}_3)_3)$.

7. The method of claim 1 wherein the transition metal complex is a transition metal amido complex.

8. The method of claim 1 wherein the transition metal complex is selected from the group consisting of $\text{Sc}(\text{N}(\text{TMS})_2)_3$, $\text{Zn}(\text{N}(\text{TMS})_2)_2$, $\text{Cr}(\text{N}(\text{TMS})_2)_3$, $\text{Co}(\text{N}(\text{TMS})_2)_2$, $\text{Cu}(\text{N}(\text{TMS})_2)_2$, $\text{Mg}(\text{N}(\text{TMS})_2)_2$, and $\text{Fe}(\text{N}(\text{TMS})_2)_3$, wherein TMS is trimethyl silyl.

9. The method of claim 1 wherein the transition metal complex is $\text{BDiMgN}(\text{TMS})_2$ or $\text{BDiZnN}(\text{TMS})_2$, wherein BDI is 2-((2,6-diisopropylphenyl)amido)-4-((2,6-diisopropylphenyl)imino)-2-pentene) and TMS is trimethyl silyl.

10. The method of claim 1 wherein the transition metal complex is DEPENiAA , wherein DEPE is 1,2-bis(dimethylphosphino)ethane and AA is an amido amidate having the formula $\text{NHCH}(\text{CH}(\text{CH}_3)_2)\text{C}(\text{O})\text{NH}_2\text{C}(\text{CH}_3)_3$.

11. The method of claim 1 wherein the transition metal complex is $\text{Co}(\text{PMe}_3)_4$, wherein Me is methyl.

12. The method of claim 1, wherein the transition metal complex is a Ru-amido complex having the formula (para-cymene) $\text{Ru}(\text{NHCH}_2\text{CH}_2\text{NS}(\text{O})_2\text{C}_6\text{H}_5\text{CH}_3)$.

13. The method of claim 1, wherein said beta lactam monomer is selected from the group consisting of (S)-4-(benzyloxycarbonyl)-2-azetidinone, (S)-4-(2-(2-(2-methoxyethoxy)ethoxy)ethoxy)carbonyl-2-azetidinone, and (S)-4-(2-(2-(2-methoxyethoxy)ethoxy)ethoxy)ethoxy)carbonyl-2-azetidinone.

14. The method of claim 1, wherein the polymerization of beta lactam monomer is a living polymerization controlled by monomer to initiator stoichiometry.

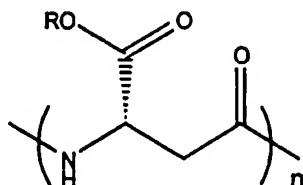
15. The method of claim 1 wherein the poly(β -peptide) is a homopolymer.

16. A composition of matter comprising a beta lactam monomer and a transition metal complex, said complex comprising a transition metal and a nucleophilic ligand.

17. A composition of matter made by combining a beta lactam monomer and a transition metal complex, said complex comprising a transition metal and a nucleophilic ligand.

18. A composition comprising a poly(β -peptide) made according to claim 1 and another beta-lactam monomer.

19. A poly(β -peptide) having the formula:



wherein R is an alkyl, aryl, oligo-ethylene glycol monomethyl, or side-chain protecting group and n is about 5 to about 200.

20. The poly (β -peptide) of claim 19 having a polydispersity index of about 1 to about 1.3.

21. The poly (β -peptide) of claim 19 having a molecular weight of about 10,000 to about 250,000.

22. A method of making a metalated lactam comprising, combining a beta lactam monomer and a transition metal complex, said complex comprising a transition metal and a reactive nucleophilic ligand, for a time and under conditions effective liberate a reactive ligand and to form the metalated lactam.

23. The method of claim 22, wherein the transition metal is selected from the group consisting of Ni, Co, Cu, Fe, Sc, and Mg.

24. The method of claim 22 wherein the ligand is $N(\text{TMS})_2$, wherein TMS is trimethyl silyl, or an amido amidate (AA), wherein AA is $(\text{NHCH}(\text{CH}_2\text{CH}_3)_2\text{C}(\text{O})\text{NH}_2\text{C}(\text{CH}_3)_3)$.

25. The method of claim 22 wherein the transition metal complex is a transition metal amido complex.

26. The method of claim 22 wherein the transition metal complex is selected from the group consisting of $\text{Sc}(\text{N}(\text{TMS})_2)_3$, $\text{Zn}(\text{N}(\text{TMS})_2)_2$, $\text{Cr}(\text{N}(\text{TMS})_2)_3$, $\text{Co}(\text{N}(\text{TMS})_2)_2$, $\text{Cu}(\text{N}(\text{TMS})_2)_2$, $\text{Mg}(\text{N}(\text{TMS})_2)_2$, and $\text{Fe}(\text{N}(\text{TMS})_2)_3$, wherein TMS is trimethyl silyl.

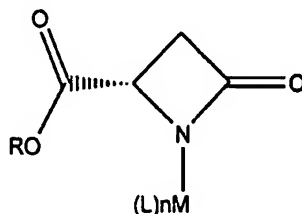
27. The method of claim 22 wherein the transition metal complex is BDiMgN(TMS)_2 or BDiZnN(TMS)_2 , wherein BDI is 2-((2,6-diisopropylphenyl)amido)-4-((2,6-diisopropylphenyl)imino)-2-pentene) and TMS is trimethyl silyl.

28. The method of claim 22 wherein the transition metal complex is DEPENiAA , wherein DEPE is 1,2-bis(dimethylphosphino)ethane and AA is an amido amidate having the formula $\text{NHCH(CH(CH}_3)_2\text{)C(O)NH}_2\text{C(CH}_3)_3$.

29. The method of claim 22 wherein the transition metal complex is $\text{Co(PMe}_3)_4$, wherein Me is methyl.

30. The method of claim 22, wherein the transition metal complex is a Ru-amido complex having the formula (para-cymene) $\text{Ru(NHCH}_2\text{CH}_2\text{NS(O)}_2\text{C}_6\text{H}_5\text{CH}_3\text{)}$.

31. The method of claim 22, said metalated lactam having the formula:



wherein R is an alkyl, aryl, oligo-ethylene glycol monomethyl moiety or side chain protecting group; M is a transition metal, L is a ligand and n is 1, 2 or 3.

32. A composition of matter comprising a beta lactam monomer and a metalated lactam made according to claim 22.

33. A method of making a block copoly (β -peptide) comprising:

combining a first beta lactam monomer and a transition metal complex, said complex comprising a transition metal and a nucleophilic ligand, in a reaction mixture, for a time and under conditions effective to polymerize the first beta lactam monomer and to form a first block of the block copoly (β -peptide); and

adding a second beta lactam monomer to the reaction mixture, wherein the second monomer is different from the first monomer, for a time and under conditions effective to polymerize the second beta lactam monomer and to form a second block of the block copoly (β -peptide).

34. The method of claim 33 wherein the block copoly (β -peptide) is an amphiphilic block copoly (β -peptide), the first block having one or more hydrophilic side chains and the second block having one or more hydrophobic side chains.

35. The method of claim 33 wherein the hydrophilic side chains of the first block are charged or oligo-ethylene glycol functionalized side chains.

36. The method of claim 33, wherein the hydrophobic side chains of the second block are alkyl or aryl esters.

37. A block copoly (β -peptide) comprising a first block and a second block attached to the first block, the first block having ten or more identical first beta amino acid residues and the second block having ten or more identical second beta amino acid residues, wherein the second beta amino acid residues are different than the first beta amino acid residues.

38. The block copoly (β -peptide) of claim 37, which is an amphiphilic block copoly (β -peptide), said first beta amino acid residue having a hydrophilic side group and said second beta amino acid residue having a hydrophobic side group.

39. The block copoly (β -peptide) of claim 37, having a polydispersity index of about 1 to about 1.3.

40. A composition comprising a block copoly (β -peptide) made according to claim 33.

FIGURE 1

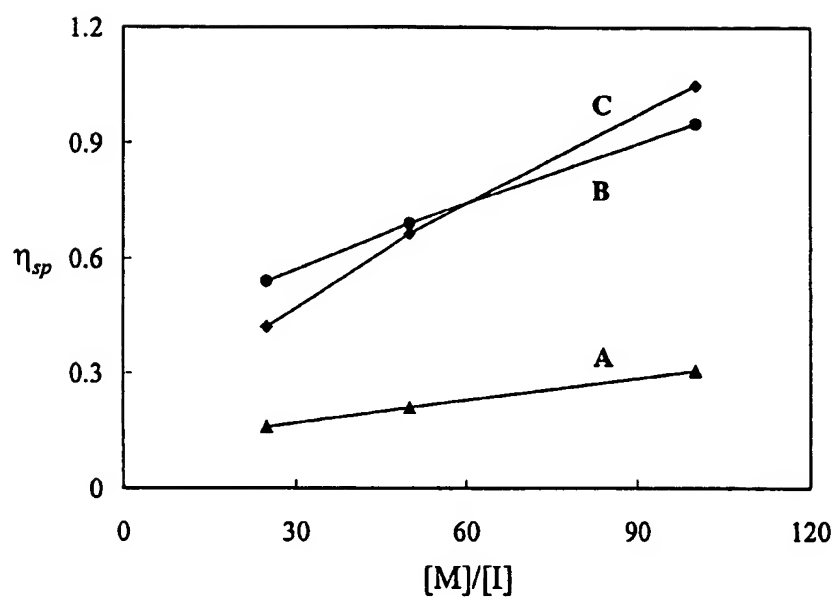


FIGURE 2

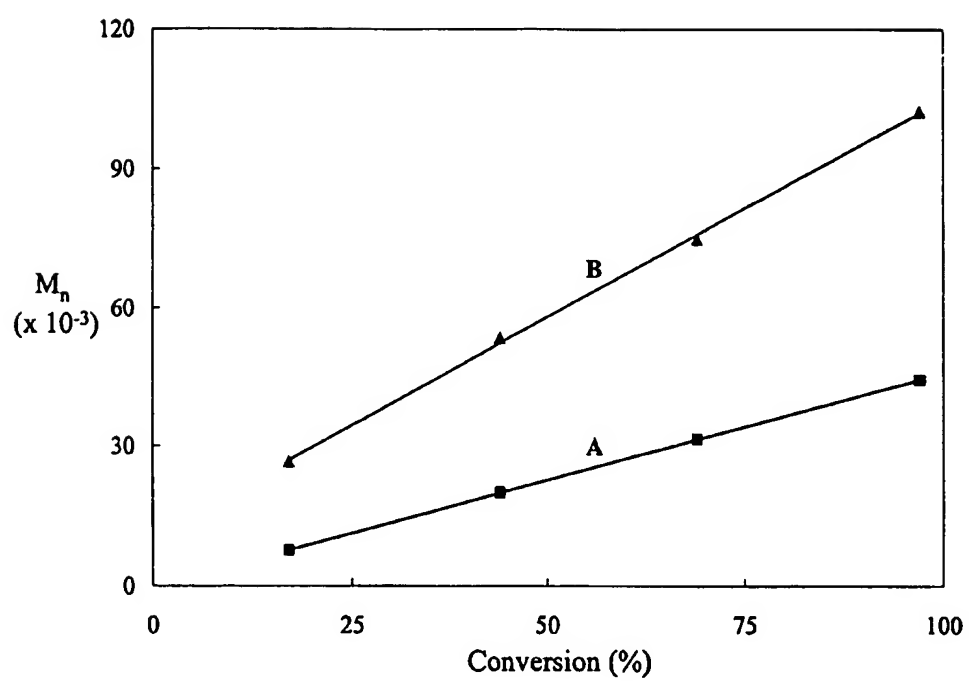


FIGURE 3

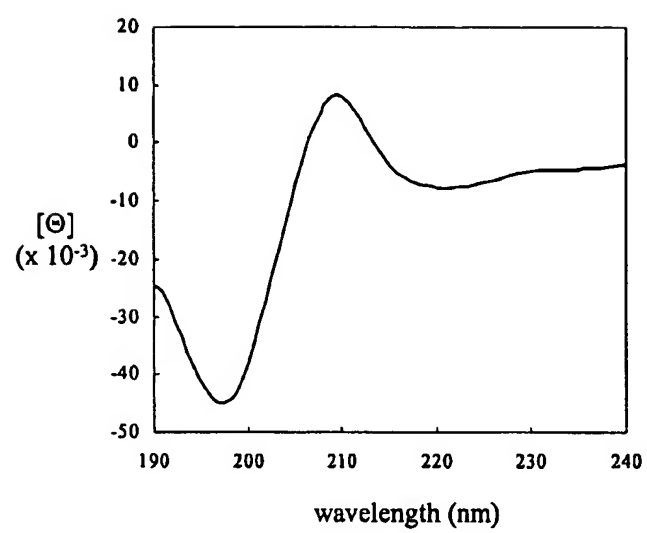


FIGURE 4

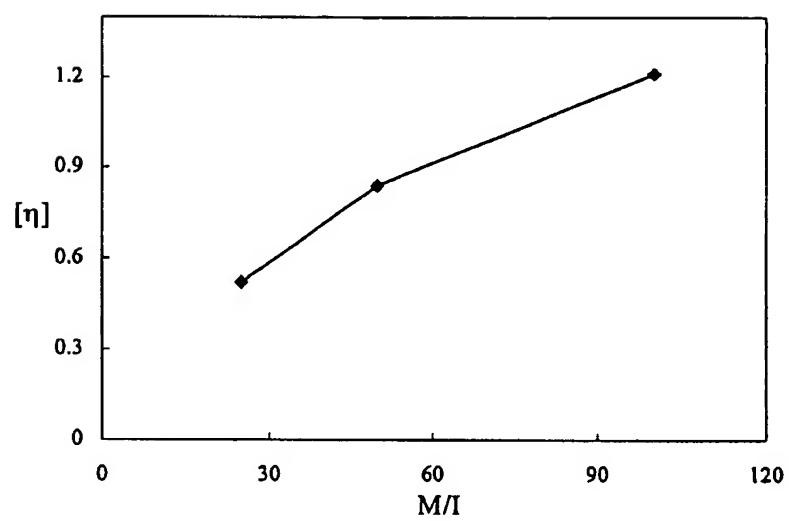


FIGURE 5

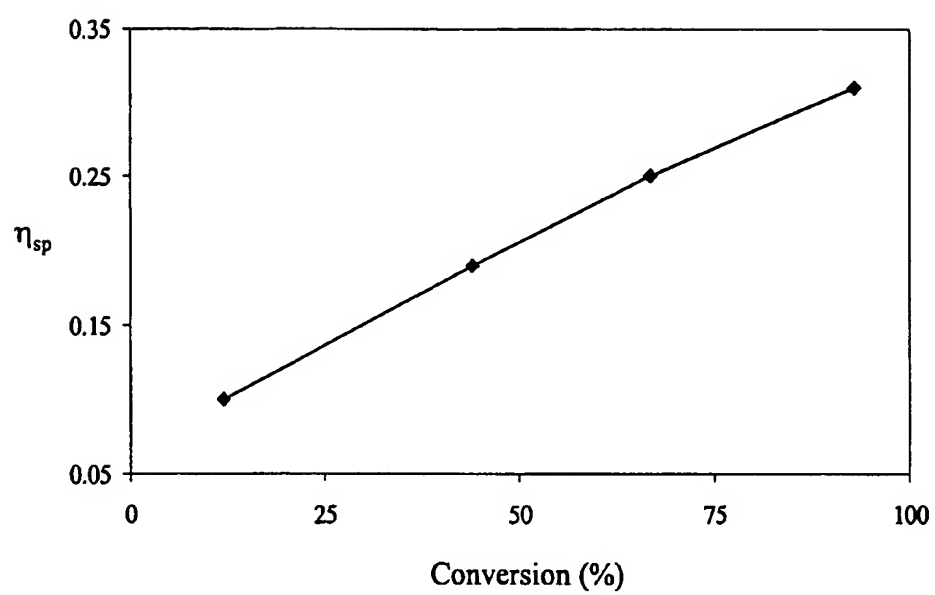
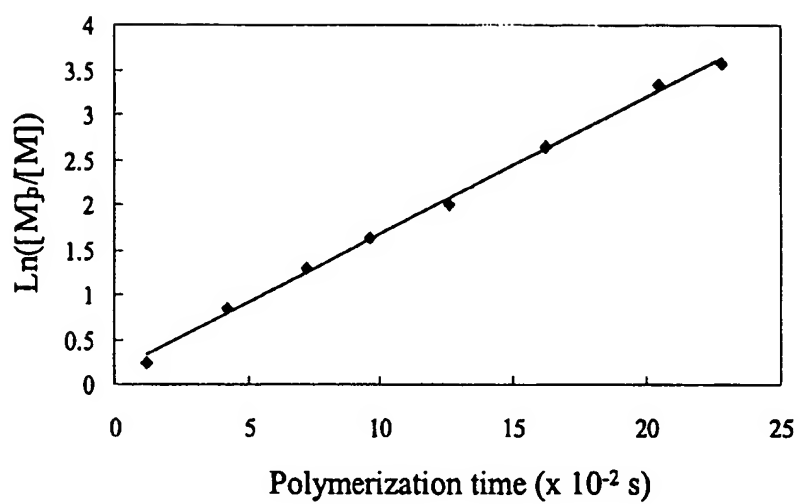


FIGURE 6



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/27897

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : Please See Extra Sheet.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 528/ 310, 312, 319, 323, 328, 363;
525/418, 419, 420;Documentation searched other than minimum documentation to the extent that such documents are included in the fields
~~searched~~ **NONE**

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,221,733 A (KOSKAN et al) 22 June 1993, see abstract; claims.	1-40
A	US 5,395,811 A (NOVAK et al) 07 March 1995, see abstract; col. 1- col. 8; the claims.	1-40
Y	CHENG, J. Synthesis of Optically Active Beta-Amino Acid N-Carboxyanhydrides, Organic Letters, May 2000, Vol. 2, No. 13, 1943-1946.	1-40
A	FELDMAN, J. Electrophilic Metal Precursors and a Beta-Diimine Ligand for Nickel(II)- and Palladium(II)- Catalyzed Ethylene Polymerization, Organometallics, 1997, 16, 1514-1516.	1-40

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

17 NOVEMBER 2002

Date of mailing of the international search report

04 DEC 2002

Name and mailing address of the ISA/US
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Facsimile No. (703) 305-3230

Authorized officer

PATRICIA HIGHTOWER

Telephone No. (703) 308-0661

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US02/27897

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	NAVAS, J.J. Analysis of the Helical Conformation in Poly(Beta-L-aspartate)s: Poly(alpha-n-butyl Beta-L-aspartate) and Poly[alpha-(2-methoxyethyl)Beta-L-aspartate], Macromolecules, 1995, 28, 4487-4494.	1-40
A	CHUNG, Y.J. A Beta-Peptide Reverse Turn that Promotes Hairpin Formation, J. Am. Chem. Soc. 1998, 120, 10555-10556.	1-40
A	LOPEZ-CARRASQUERO, F. Structural Study on Poly(Beta-L-aspartate)s with Short Alkyl Side Chains: Helical and Extended Crystal Forms, Macromolecules, 1996, 29, 84449-8459.	1-40
A	DE ILARDUYA, A.M. Helical Poly(Beta-peptides): The Helix-Coil Transition of Poly(alpha-alkyl-Beta-aspartate)s in Solution, Macromolecules 1999, 32, 3257-3263.	1-40
A	GARCIA-ALVAREZ, M. Conformation and Crystal Structure of Poly(alpha-cycloalkyl-Beta-L-aspartate)s, J. Phys. Chem. A, 1997, 101, 4215-4223.	1-40
A	APPELLA, D.H. Beta-Peptide Foldamers: Robust Helix Formation in a New Family of Beta-Amino Acid Oligomers, J. Am. Chem. Soc. 1996, 118, 13071-13072.	1-40
A	APPELLA, D.H. Formation of Short, Stable Helices in Aqueous Solution by Beta-Amino Hexamers, J. Am. Chem. Soc. 1999, 121, 2309-2310.	1-40

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US02/27897

A. CLASSIFICATION OF SUBJECT MATTER:
IPC (7):

C08G 69/00, 73/00;
C08L 77/00;

A. CLASSIFICATION OF SUBJECT MATTER:
US CL :

528/ 310, 312, 319, 323, 328, 363;
525/418, 419, 420;

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

WEST 2.0 & EAST 1.3; JOURNAL AMERICAN CHEMICAL SOCIETY; MACROMOLECULES;
TERMS; POLYPEPTIDE, BETA LACTAM, AMINO ACIDS, NUCLEOPHILIC LIGAND,
TRANSESTERIFICATION, CATALYST OR INITIATOR, TRANSITION METAL NEAR COMPLEX ,
ASPARTIC ACID OR GLUTAMIC ACID; ASPARTATE, SYNTHESIS, METAL CATALYST OR METAL
INITIATOR;